

**DIRECTORATE FOR FINANCIAL AND ENTERPRISE AFFAIRS  
INVESTMENT COMMITTEE**

**Meeting of the Network of National Contact Points for Responsible Business Conduct**

**NATIONAL CONTACT POINT 2021 REPORT TO THE OECD: AUSTRIA**

This document is available in PDF format only.

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**JT03499290**



# NCP Annual Reporting Questionnaire 2021

## Survey response 1

### A. Contact information

Please indicate your country:
Austria
Please provide the contact information of the person filling in the questionnaire:
First name:
Mario
Last name
Micelli
Job title:
Head of NCP
Email:
mario.micelli@bmdw.gv.at
Telephone number (with country code): e.g.: +44 9876 123 456
+43 (0) 1 711 00 80 52 40

### B. Institutional arrangements - (a) Structure, location and composition of the NCP

1. What is the structure of the NCP?
Single agency
1. What is the structure of the NCP? [Other]
2. Who are the members of the NCP? Please describe the functions of the members of the NCP and the ministry/agency or stakeholder organisation they represent. No names are required.
The Austrian NCP is located in the Austrian Federal Ministry for Digital and Economic Affairs as a distinct unit. The head of unit carries out promotional activities and specific instances. In organisational and logistical matters (e.g. events, website) it is supported by the department for OECD, sustainability and the department for event management; in communication matters, it is supported by the public relations office of the ministry.
3. (Continued) If the NCP is not a single-agency and has a Secretariat, in which ministry or agency is located the Secretariat?
3. (Continued) If the NCP is not a single-agency and has a Secretariat, in which department of the ministry or agency is located the Secretariat?
4. Has the NCP been established through a legislative, regulatory or administrative instrument (e.g. a statute, a decree, a ministerial resolution)?
Yes
4. (Continued) If yes, please provide the name and date of this instrument, and a link if available
Administrative Decree (Amendment of the Ministry's Organisational Structure, January 2019), <a href="https://www.bmdw.gv.at/en/Ministry/OrganisationalStructure.html">https://www.bmdw.gv.at/en/Ministry/OrganisationalStructure.html</a>

Additional comments on the section Structure, location and composition of the NCP:

## B. Institutional arrangements - (b) Advisory body

5. Does the NCP have one or several advisory bodies?

Yes, one advisory body

5. (Continued) If the NCP has several advisory bodies, please specify.

5. (Continued) If yes, please describe the advisory body(ies)' functions (e.g. advising on promotion, on specific instance handling in general, on individual cases, providing oversight, etc.)

The Austrian NCP has one advisory body, the Steering Committee ("Lenkungsausschuss").

The responsibilities/functions of the Steering Committee include (also see Section 8 of the Steering Committee's Terms of Reference, [https://www.bmdw.gv.at/en/Topics/International/OECD-Guidelines-for-Multinational-Enterprises-and-the-Austrian-NCP/Activities-of-the-Austrian-National-Contact-Point-\(NCP\).html](https://www.bmdw.gv.at/en/Topics/International/OECD-Guidelines-for-Multinational-Enterprises-and-the-Austrian-NCP/Activities-of-the-Austrian-National-Contact-Point-(NCP).html)):

- a. Consulting of the Austrian NCP in all matters regarding the implementation of the Guidelines, including the handling of notifications in specific instances;
- b. The support and consulting of the Austrian NCP during the implementation of the pro-active agenda;
- c. Participation in the preparation of the annual report of the Austrian NCP to the OECD Investment Committee;
- d. The promotion of a broad dialogue on the Guidelines with stakeholders;
- e. Proposals for the further development of the Austrian NCP;
- f. The suggestion that a matter be referred to the OECD Investment Committee in case of doubts regarding the interpretation of the Guidelines.

5. (Continued) If yes, please list the names of organisations that are represented on the advisory body(ies) and the type of organisation. (e.g. government, business, business organisation, NGO, trade union, academia, consumer organisation, etc.)

One representative from each of the following organisations:

- Federal Ministry for Digital and Economic Affairs; government), Chair
- Federal Chancellery (government)
- Federal Ministry for Europe, Integration and Foreign Affairs (government)
- Federal Ministry of Labour (government)
- Federal Ministry of Finance (government)
- Austrian Federal Chamber of Labour (statutory representation of workers/employees)
- Austrian Chamber of Agriculture (representation of farmers and cooperatives)
- Austrian Trade Union Federation (trade union)
- Federation of Austrian Industries (business organisation)
- Austrian Federal Economic Chamber (statutory representation of companies/business)
- Südwind (representative of OECD Watch, NGO)
- University professor (legal expert in the field of extrajudicial dispute resolution)

5. (Continued) If yes, please indicate how often the advisory body(ies) meets

Twice a year

5. (Continued) If yes, please indicate how often the advisory body(ies) meets [Other]

6. If an advisory body provides oversight, please describe the oversight procedure:

According to the Steering Committee's Terms of Reference (Section 8.g.), the Steering Committee is also responsible for the evaluation of the activities of the Austrian NCP, in particular with regard to the compliance with the Terms of Reference and the implementation of the key criteria pursuant to Item I of the Procedural Guidance of the OECD Guidelines for Multinational Enterprises as well as the compliance with the latter.

Additional comments on the section Advisory body(ies):

Ad 5.) The Austrian NCP can further consult the Steering Committee on any responsibility of the NCP, including specific instances. According to the Steering Committee's Terms of Reference, the Steering Committee should meet twice a year. However, in 2021, it only met once (December 2021).

## B. Institutional arrangements - (c) Human and financial resources

7. Does the NCP have dedicated full-time staff members?

No

8. Does the NCP have dedicated part-time staff members?

Yes

8. (Continued) Please indicate the number of part-time staff members:

2

8. (Continued) Please indicate the percentage of time spent on NCP matters for each part-time staff member:

0,5 / 0,25

9.1 Have any full-time staff members joined the NCP during the year?

No

9.2 Have any part-time staff members joined the NCP during the year?

No

10.1 Have any full-time staff members left the NCP during the year?

No

10.2 Have any part-time staff members left the NCP during the year?

No

11. Did the NCP have a dedicated budget this year?

Yes

12. During the year, did the human and financial resources available to the NCP allow it to: Please select "N/A" if the NCP was not invited/chose not to attend such events [Handle specific instances in an efficient and timely manner]

N/A

12. During the year, did the human and financial resources available to the NCP allow it to: Please select "N/A" if the NCP was not invited/chose not to attend such events [Organise promotional events]

Yes

12. During the year, did the human and financial resources available to the NCP allow it to: Please select "N/A" if the NCP was not invited/chose not to attend such events [Attend NCP meetings at the OECD]

Yes

12. During the year, did the human and financial resources available to the NCP allow it to: Please select "N/A" if the NCP was not invited/chose not to attend such events [Attend events organised by other NCPs]

Yes

12. During the year, did the human and financial resources available to the NCP allow it to: Please select "N/A" if the NCP was not invited/chose not to attend such events [Attend events organised by stakeholders]

Yes

12. During the year, did the human and financial resources available to the NCP allow it to: Please select "N/A" if the NCP was not invited/chose not to attend such events [Cover professional mediator fees or in-house mediator fees]

N/A

12. During the year, did the human and financial resources available to the NCP allow it to: Please select "N/A" if the NCP was not invited/chose not to attend such events [Conduct fact-finding research into specific instances]

N/A

12. (Continued) If you have answered "no" to any of the above, please specify:

Additional comments on the section Human and financial resources:

Ad 8.) The Austrian NCP is supported by a part-time secretariat of the department for OECD, Sustainability of the Ministry for Digital and Economic Affairs. The Austrian NCP is able to rely on resources of other departments/units of the ministry in which is located if this becomes necessary (e.g. organising events, designing promotional material, communication and public relation).

Ad 11.) The Austrian NCP does not dispose of a dedicated budget for activities related to specific instances or for fact-finding research into specific instances. In case such funding becomes necessary, funds will be allocated on an ad hoc basis.

Ad 12.) There has been no specific instance in 2021.

## B. Institutional arrangements - (d) Reporting

13. Does the NCP report to the executive on its activities?

Yes

13. (Continued) If yes, how often?

On an ongoing basis

14. Does the NCP report to the legislative body on its activities?

Yes

14. (Continued) If yes, how often?

Twice a year

15. Please indicate any other relevant transparency requirement(s) (e.g. access to information or disclosure laws) applicable to the NCP in your country:

Additional comments on the section Reporting:

Ad 14.) The activities of the Austrian NCP are, inter alia, reflected in the annual report on external relations by the Federal Ministry for Europe, Integration and Foreign Affairs. Members of Parliament have the right to make requests to the Austrian NCP via the competent Minister (right of interpellation). In 2021, there have been two parliamentary questions with regards to the work the Austrian NCP.

## C. Information and promotion - (a) Website

16. Does the NCP have a website?

Yes

16. (Continued) If yes, please provide the link:

[www.oecd-leitsaetze.at](http://www.oecd-leitsaetze.at)

17.1 Are the following items available on the NCP website? Information about the Guidelines and the role of the NCP: [The text of the Guidelines]

Yes

17.1 Are the following items available on the NCP website? Information about the Guidelines and the role of the NCP: [A description of the Guidelines]

Yes

17.1 Are the following items available on the NCP website? Information about the Guidelines and the role of the NCP: [The OECD Due Diligence Guidance Documents]

Yes

17.1 Are the following items available on the NCP website? Information about the Guidelines and the role of the NCP: [Explanatory text about due diligence]

Yes

17.1 Are the following items available on the NCP website? Information about the Guidelines and the role of the NCP: [Information on the NCP and its mandate]

Yes

17.1 Are the following items available on the NCP website? Information about the Guidelines and the role of the NCP: [The NCP Annual Report submitted to the OECD ]

Yes

17.1 Are the following items available on the NCP website? Information about the Guidelines and the role of the NCP: [The NCP's Report to the executive and/or legislative (if applicable)]

Yes

17.1 Are the following items available on the NCP website? Information about the Guidelines and the role of the NCP: [The NCP's peer review report (if applicable)]

Yes

17.2 Are the following items available on the NCP website? Information about specific instances [Information on how to submit a specific instance]

Yes

17.2 Are the following items available on the NCP website? Information about specific instances [An online form to submit a specific instance]

Yes

17.2 Are the following items available on the NCP website? Information about specific instances [The NCP's rules of procedure ]

Yes

17.2 Are the following items available on the NCP website? Information about specific instances [All final statements since 2011]

Yes

17.3 Are the following items available on the NCP website? Information on promotional activities: [The NCP's promotional plan]

Yes

17.3 Are the following items available on the NCP website? Information on promotional activities: [Information on upcoming events promoting the Guidelines]

Yes

17.3 Are the following items available on the NCP website? Information on promotional activities: [Information on past events promoting the Guidelines]

Yes

17.4 Are the following items available on the NCP website? Contact information: [Information on how to make an enquiry to the NCP]

Yes

17.4 Are the following items available on the NCP website? Contact information: [A phone number to reach the NCP directly]

Yes

17.4 Are the following items available on the NCP website? Contact information: [An email address to reach the NCP directly]

Yes

Additional comments on the section NCP website:

Ad 17.) In order to further improve the visibility and accessibility of the Austrian NCP, the Austrian NCP has a teaser on current NCP topics and activities (e.g. conclusion of specific instance proceeding; upcoming and past events; stakeholder survey) on the front page of the Ministry's website. The teaser leads directly to the relevant topic on the website of the Austrian NCP.

Ad online form: The Austrian NCP has PDF-forms which can be compiled electronically.

### C. Information and promotion - (b) Promotional activities\*

18. Does the NCP have a promotional plan for the coming year(s)?

Yes

19. Did the NCP organise or co-organise events to promote the Guidelines and/or the NCP during the year? If yes, please also provide details in the NCP Reporting Questionnaire Annex document that will be uploaded at the end of the questionnaire.
Yes
20. Did the NCP make a presentation to promote the Guidelines and/or the NCP in events organised by others? If yes, please also provide details in the NCP Reporting Questionnaire Annex document that will be uploaded at the end of the questionnaire.
Yes
21. Did the NCP make use of social media to communicate on NCP promotional activities during the year?
Yes
21. (Continued) If yes, please provide additional details:
The events of 2021 were communicated via all available communication tools of the Federal Ministry for Digital and Economic Affairs.
22. Did the NCP hold a stakeholder meeting during the year?
Yes
23. Did the NCP promote the Guidelines among the business community during the year?
Yes
24. Did the NCP carry out any training on the Guidelines aimed at businesses during the year?
Yes
25. Did the NCP promote the Guidelines among NGOs during the year?
Yes
26. Did the NCP promote the Guidelines among trade unions during the year?
Yes
27. Did the NCP promote the Guidelines among government agencies during the year?
Yes
28. Did the NCP promote the Guidelines among embassies abroad during the year?
No
29. Did the NCP promote the Guidelines to investment promotion agencies during the year?
Yes
30. Did the NCP focus on any of the following during promotional activities during the year: [OECD Due Diligence Guidance for Responsible Business Conduct]
Yes
30. Did the NCP focus on any of the following during promotional activities during the year: [OECD Due Diligence Guidance for Responsible Supply Chains in the Garment and Footwear Sector]
Yes
30. Did the NCP focus on any of the following during promotional activities during the year: [Due Diligence for Responsible Corporate Lending and Securities Underwriting and/or Responsible Business Conduct for Institutional Investors]
No
30. Did the NCP focus on any of the following during promotional activities during the year: [OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas]
No
30. Did the NCP focus on any of the following during promotional activities during the year: [OECD Due Diligence Guidance for Meaningful Stakeholder Engagement in the Extractive Sector]
No
30. Did the NCP focus on any of the following during promotional activities during the year: [OECD-FAO Guidance for Responsible Agricultural Supply Chains]
No



Additional comments on the section Promotional activities:

In its promotional activities, the Austrian NCP put a focus on Due Diligence and the relevant OECD Guidances. A special focus was given to the OECD Due Diligence Guidance for Responsible Business Conduct and the OECD Due Diligence Guidance for Responsible Supply Chains in the Garment and Footwear Sector, all other Guidances were mentioned, but not handled in detail.

## D. Specific Instances - (a) NCP rules of procedure for handling specific instances

31. Does the NCP have rules of procedure describing the handling of specific instances?

Yes

32. Are the rules of procedure available online?

Yes

32. (Continued) If yes, please provide a link:

[https://www.bmdw.gv.at/en/Topics/International/OECD-Guidelines-for-Multinational-Enterprises-and-the-Austrian-NCP/Activities-of-the-Austrian-National-Contact-Point-\(NCP\).html](https://www.bmdw.gv.at/en/Topics/International/OECD-Guidelines-for-Multinational-Enterprises-and-the-Austrian-NCP/Activities-of-the-Austrian-National-Contact-Point-(NCP).html)

32. (Continued) Please upload a copy of the rules of procedure via the "Upload files" button below (only ".doc", ".docx" or ".pdf" files) or upload a copy at the end of the questionnaire in the section Additional materials.

filecount - 32. (Continued) Please upload a copy of the rules of procedure via the "Upload files" button below (only ".doc", ".docx" or ".pdf" files) or upload a copy at the end of the questionnaire in the section Additional materials.

33. Were the NCP's rules of procedure modified this year?

No

Additional comments on the section Rules of procedure:

Due to OECD Watch's indicator project, the Austrian NCP now provides more details to the complaint procedure on its website (in English and German).

## D. Specific Instances - (b) Specific instance practicalities

34. Does the NCP confirm receipt of a specific instance submission? Please select N/A if the NCP has never received a specific instance

Yes

35. Does the NCP request feedback from the parties on the procedure following the conclusion of a specific instance? Please select N/A if the NCP has never received a specific instance

Yes

36. Has the NCP staff undergone training in dispute resolution or problem solving (e.g. mediation)?

Yes

37. Did the NCP engage professional mediators during the year? Please select N/A if the NCP did not receive a specific instance during the year

N/A

38. Did the NCP staff or members conduct mediation this year? Please select N/A if the NCP has never received a specific instance

N/A

Additional comments on the section Specific instance practicalities:

## D. Specific Instances - (c) Reporting specific instances

39. Did the NCP receive new specific instance submissions during the year? If yes, please also provide details in the NCP Reporting Questionnaire Annex document that will be uploaded at the end of the questionnaire.
No
40. Did the NCP close specific instances during the year? If yes, please also provide details in the NCP Reporting Questionnaire Annex document that will be uploaded at the end of the questionnaire.
No
41. Of the specific instances that were already in progress at the start of the year, are there any that are still ongoing at the end of the year? If yes, please also provide details in the NCP Reporting Questionnaire Annex document that will be uploaded at the end of the questionnaire. Select N/A if no specific instances were in progress at the start of the year.
N/A
42. Did the NCP follow up on a case during the year? If yes, please also provide details in the NCP Reporting Questionnaire Annex document that will be uploaded at the end of the questionnaire. Select N/A if no specific instances were concluded before the start of the year.
N/A
43. Is the OECD database of specific instances accurate and up to date with regard to cases handled by the NCP? Select N/A if the NCP has never received a specific instance.
Yes
43. (Continued) Please provide details (missing cases, out of date entries, broken links, etc.) in the box below:
Additional comments on the section Reporting specific instances:

## E. Peer learning and peer reviews

44. Did the NCP take part in the following activities with other NCPs during the year: [Host a peer learning activity]
No
44. Did the NCP take part in the following activities with other NCPs during the year: [Participate in peer learning activities hosted by other NCPs]
Yes
44. Did the NCP take part in the following activities with other NCPs during the year: [Co-operate with other NCPs in handling specific instances]
Yes
44. Did the NCP take part in the following activities with other NCPs during the year: [Provide mentoring/capacity building to another NCP ]
No
45. Is the NCP interested in hosting an NCP learning/experience-sharing event in 2022?
No
46. Which topic would the NCP consider to be a priority to cover in a peer learning event?
Good offices, bringing parties to the table, online mediation
47. Is the NCP interested in participating in developing tools for use by NCPs?
Yes
48. Is the NCP interested in acting as a peer reviewer in the future?
Yes

If yes, please specify the semester and the year:

2nd half of 2022

Additional comments on the section Peer-learning and peer reviews:

Ad 44.) The Austrian NCP organised and participated in the meetings of the Swiss and German NCPs. Topics were also current cases, lessons learned and RBC promotion.

Ad 45.) A mediation academy is planned for 2023 (depending on the sanitary situation).

## F. Policy coherence\*

49. Have the Guidelines been referred to in relevant national legislation/regulations/policies adopted during the year? (e.g. on responsible business conduct; non-financial reporting, export credits regulation, public procurement)

Yes

49. (Continued) Please provide a short summary and a link to the legislation. If a link is not available, please upload a copy of the legislation at the end of the questionnaire, on the "Additional materials" page.

"Masterplan Rohstoffe 2030" - Raw materials Strategy 2030. Reference to the OECD Guidelines were made with regards to transparency and traceability of supply chains and responsible business conduct.

Available only in German: <https://info.bmlrt.gv.at/themen/bergbau/mineralrohstoffpolitik/oesterreich/masterplan-rohstoffe.html>

50. Did your country adopt a National Action Plan (NAP) this year?

No

50. (Continued) Please indicate which type of National Action Plan: [NAP on Business and Human Rights]

N/A

50. (Continued) Please indicate which type of National Action Plan: [NAP on Corporate Social Responsibility]

N/A

50. (Continued) Please indicate which type of National Action Plan: [NAP on Responsible Business Conduct]

N/A

50. (Continued) Please provide a link to the NAP on Business and Human Rights: If a link is not available, please upload a copy of the legislation at the end of the questionnaire, on the "Additional materials" page.

50. (Continued) Did the NAP on Business and Human Rights make reference to: [The Guidelines?]

50. (Continued) Did the NAP on Business and Human Rights make reference to: [The NCP?]

50. (Continued) Please provide a link to the NAP on Corporate Social Responsibility: If a link is not available, please upload a copy of the legislation at the end of the questionnaire, on the "Additional materials" page.

50. (Continued) Did the NAP on Corporate Social Responsibility make reference to: [The Guidelines?]

50. (Continued) Did the NAP on Corporate Social Responsibility make reference to: [The NCP?]

50. (Continued) Please provide a link to the NAP on Responsible Business Conduct: If a link is not available, please upload a copy of the legislation at the end of the questionnaire, on the "Additional materials" page.

50. (Continued) Did the NAP on Responsible Business Conduct make reference to: [The Guidelines?]

50. (Continued) Did the NAP on Responsible Business Conduct make reference to: [The NCP?]

51. Was a NAP in development this year?  
No

51. (Continued) If yes, please indicate which NAP: [NAP on Business and Human Rights]  
N/A

51. (Continued) If yes, please indicate which NAP: [NAP on Corporate Social Responsibility]  
N/A

51. (Continued) If yes, please indicate which NAP: [NAP on Responsible Business Conduct]  
N/A

52. Did the NCP inform officials responsible for trade missions of its relevant statements and reports? Please select N/A if the NCP did not publish any statement this year  
N/A

53. Did the NCP inform officials responsible for foreign trade and investment incentives of its relevant statements and reports? Please select N/A if the NCP did not publish any statement this year  
N/A

54. Did the NCP inform officials responsible for public procurement of its relevant statements and reports? Please select N/A if the NCP did not publish any statement this year  
N/A

55. Does your national legislation or policy on public procurement refer to the Guidelines and/or OECD due diligence instruments and/or to the NCP process?  
No

55. (Continued) Please provide a link to the legislation. If a link is not available, please upload a copy of the legislation at the end of the questionnaire, on the "Additional materials" page.

56. Have public procurement officials/practitioners consulted the NCP on the Guidelines and OECD due diligence instruments or involved the NCP in specific public procurement opportunities?  
No

57. Were public procurement officials involved in any of your training/outreach activities?  
No

58. What tools or activities does the NCP develop to support public procurement practitioners on RBC and OECD due diligence?  
The due diligence webinars are available online, public procurement practitioners were consulted in the OECD Due Diligence questionnaire.

59. Please identify any specific initiatives/good practices involving public procurement and RBC in your country:

60. Please include any other examples of policy coherence activities:  
Senior officials of the Federal Ministry for Digital and Economic Affairs are regularly informed about NCP activities, including specific instances. Similarly, the Austrian NCP regularly informs officials responsible for trade missions, the Austrian Export Credit Agency and the Austrian Development Bank about NCP activities and specific instances. Moreover, the Steering Committee, being inter alia composed by members of different Austrian Ministries, is regularly informed about NCP activities.

Additional comments on the section Policy Coherence:

## G. Challenges

61. Has the NCP faced any particular challenge(s) it would like to highlight this year?

Additional comments on the section Challenges:  
Due to the COVID 19 crisis, all promotional events were organised and held online.

## NATIONAL CONTACT POINT REPORTING QUESTIONNAIRE 2021

### Annex

This document is to provide detailed information on **NCP promotional activities (Part 1 below)** and on **specific instances (Part 2 below)**.

#### INSTRUCTIONS

This document should be completed and saved locally on a computer and uploaded from the same computer at the end of the online questionnaire in the section “**Annex: NCP Promotional activities & Specific Instances**” (after question 61).

Please note that only **Word files** (.doc or .docx) can be uploaded.

**Guidance and instructions** on how to complete the questionnaire ([here](#)) and the annex ([here](#)) are accessible on the NCP O.N.E Community Space.

#### HELP & ASSISTANCE

Please contact [jean-francois.leruste@oecd.org](mailto:jean-francois.leruste@oecd.org) and [emily.halstead@oecd.org](mailto:emily.halstead@oecd.org) for any help or assistance to complete the questionnaire.

#### STRUCTURE

**Part 1: NCP PROMOTIONAL ACTIVITIES**

**Part 2: SPECIFIC INSTANCES**

Please enter country name of NCP:

Austria

## PART 1: NCP PROMOTIONAL ACTIVITIES

Please provide information on the events organised or co-organised by the NCP (Table 1), and events in which the NCP has participated to promote the Guidelines (Table 2).

Please select the event type, size and type of audience as well as the theme from the dropdown menus for each event.

Please add additional lines if needed.

### TABLE 1

#### NCP-organised and co-organised events to promote the Guidelines and/or the NCP

Title	Date (dd/mm/yyyy)	Location	Type of event	Size of audience	Organised or co-organised?	Targeted audience <i>e.g. Business representatives, NGOs, Trade unions, Academia, General public, Government representatives, etc.</i>	Theme <i>e.g. the OECD Guidelines, the NCP activities on sector due diligence guidance documents, etc.</i>
Current Developments in Due Diligence	23-Feb-2021	Online	Webinar	>100	Organised	Business representatives, Trade Unions, NGOs, Academia, Government representatives, general public	Recent developments on Due Diligence, OECD Guidance on RBC, OECD Guidelines
Due Diligence in Garment and Footwear sector	20-Apr-2021	Online	Webinar	50-100	Organised	Business representatives, Trade Unions, NGOs, Academia, Government representatives, general public	OECD Guidance for Responsible Supply Chains in the Garment and Footwear Sector
Due Diligence in practice	23-Jun-2021	Online	Webinar	50-100	Organised	Business representatives, Trade Unions, NGOs, Academia, Government representatives, general public	How to implement Due Diligence, OECD Due Diligence Guidance for RBC
Dialogue with Employee Representatives: Help shaping Global Responsibility	01-Jul-2021	Online	Meeting	10-50	Organised	Trade Unions, Workers Representatives	OECD Guidelines, Due Diligence, Ways for workers representatives to participate in the due diligence process
Multi-Stakeholder Forum: Due Diligence in complex value chains	19-Oct-2021	Online	Conference	50-100	Organised	Business representatives, NGOs, Trade Unions, Academia, General public, Government representatives	OECD Guidelines, OECD Due Diligence Guidances, Due Diligence in procurement
Exchange with civil society representatives on RBC developments and the NCP activities	20-Dec-2021	Online	Meeting	<10	Organised	NGO	Recent developments on RBC and Guidelines
Exchange with responsible authority for the conflict mineral regulation	13-Dec-2021	Online	Meeting	<10	Organised	BMLRT	Recent developments on RBC, Guidelines and conflict minerals regulation

**Additional comments:**

**Total number of events:**

7

TABLE 2

## Presentations by the NCP to promote the Guidelines and/or the NCP in events organised by others

<b>Title</b>	<b>Date</b> (dd/mm/yyyy)	<b>Location</b>	<b>Type of event</b>	<b>Size of audience</b>	<b>Targeted audience</b> <i>e.g. Business representatives, NGOs, Trade unions, Academia, General public, Government representatives, etc.</i>	<b>Organiser(s)</b>	<b>Theme of the intervention</b>
Presentation during a class at the Vienna University for Economics and Business	06-May-2021	Online	<b>Webinar</b>	<b>10-50</b>	Students of the Vienna University for Economics and Business	Vienna University for Economics and Business	Presentation of the OECD Guidelines, the OECD Due Diligence Guidances and the work of the Austrian NCP
Universal Periodic Review of Austria: Plenary Session with Civil Society	17-Sep-2021	Online	<b>Meeting</b>	<b>10-50</b>	Government representatives, NGOs	Austrian Federal Ministry for European and International Affairs	Short presentation of the work of the Austrian NCP and linkages to the implementation of the UPR recommendation
What contribution can quality labels make to combating labour exploitation along global supply chains?	30-Sep-2021	Online	<b>Meeting</b>	<b>10-50</b>	Government representatives, NGOs, Trade unions	NGO NeSoVe (Netzwerk Soziale Verantwortung)	Participation in the discussion
Presentation during a class at the Vienna University for Economics and Business	16-Dec-2021	Online	<b>Webinar</b>	<b>10-50</b>	Students of the Vienna University for Economics and Business	Vienna University for Economics and Business	Presentation of the OECD Guidelines, the OECD Due Diligence Guidances and the work of the Austrian NCP
Click here to enter text.	Click here to enter a date.	Click here to enter text.	<b>Choose an item.</b>	<b>Choose an item.</b>	Click here to enter text.	Click here to enter text.	Click here to enter text.
Click here to enter text.	Click here to enter a date.	Click here to enter text.	<b>Choose an item.</b>	<b>Choose an item.</b>	Click here to enter text.	Click here to enter text.	Click here to enter text.
Click here to enter text.	Click here to enter a date.	Click here to enter text.	<b>Choose an item.</b>	<b>Choose an item.</b>	Click here to enter text.	Click here to enter text.	Click here to enter text.

Additional comments:

Total number of events:

4



## PART 2: SPECIFIC INSTANCES

Please provide details of the following in the table below:

1. Specific instances **in progress** during the year (specific instances received and pending initial assessment, or found to merit further examination after the initial assessment and under consideration by the NCP).
2. Specific instances **concluded** during the year (specific instances that the NCP found to merit further examination after the initial assessment and that have subsequently been closed).
3. Specific instances **not accepted** during the year (specific instances that the NCP found not to merit further examination after initial assessment).
4. Specific instances for which **follow up** was performed during the year (the NCP monitored whether the agreement reached by the parties or its recommendations to the parties have been implemented)

Please add additional lines if needed.

We encourage all NCPs to inform the OECD Secretariat on received specific instances and to provide a final statement as soon as it becomes available, in order to keep the OECD database updated at all times. For all specific instances that have not yet been reported to the OECD Secretariat, please complete and send this [form](#) to [ncp-specific-instances@oecd.org](mailto:ncp-specific-instances@oecd.org)<sup>1</sup>.

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<sup>1</sup> According to paragraph 42 of the Commentary on the Implementation Procedures of the OECD Guidelines for Multinational Enterprises, “[...] NCPs will report to the Investment Committee in order to include in the Annual Report on the OECD Guidelines information on all specific instances that have been initiated by parties, including those that are in the process of an initial assessment, those for which offers of good offices have been extended and discussions are in progress, and those in which the NCP has decided not to extend an offer of good offices after an initial assessment”.

**For all specific instances that are currently IN PROGRESS:**

<b>Names of parties</b>	<b>Date received</b> <i>(dd/mm/yyyy)</i>	<b>Is the initial assessment publicly available?</b>	<b>Link to OECD database if available</b>	<b>Did the NCP co-operate with other NCPs during the handling of this specific instance? If yes, please describe.</b>
Click here to enter text.	Click here to enter a date.	Choose an item.	Click here to enter text.	Click here to enter text.
Click here to enter text.	Click here to enter a date.	Choose an item.	Click here to enter text.	Click here to enter text.
Click here to enter text.	Click here to enter a date.	Choose an item.	Click here to enter text.	Click here to enter text.
Click here to enter text.	Click here to enter a date.	Choose an item.	Click here to enter text.	Click here to enter text.
Click here to enter text.	Click here to enter a date.	Choose an item.	Click here to enter text.	Click here to enter text.
Click here to enter text.	Click here to enter a date.	Choose an item.	Click here to enter text.	Click here to enter text.

<b>Additional comments:</b>	
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<b>Total number of specific instances:</b>	0
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**For all specific instances that were CONCLUDED during the year:**

<b>Title</b>	<b>Date received</b> <i>(dd/mm/yyyy)</i>	<b>Date concluded</b> <i>(dd/mm/yyyy)</i>	<b>Link to OECD database if available</b>	<b>Outcomes achieved</b>	<b>Does the final statement plan for a follow up?</b>	<b>Did the NCP co-operate with other NCPs during the handling of this specific instance? If yes, please describe.</b>
Click here to enter text.	Click here to enter a date.	Click here to enter a date.	Click here to enter text.	Click here to enter text.	Choose an item.	Click here to enter text.
Click here to enter text.	Click here to enter a date.	Click here to enter a date.	Click here to enter text.	Click here to enter text.	Choose an item.	Click here to enter text.
Click here to enter text.	Click here to enter a date.	Click here to enter a date.	Click here to enter text.	Click here to enter text.	Choose an item.	Click here to enter text.
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Click here to enter text.	Click here to enter a date.	Click here to enter a date.	Click here to enter text.	Click here to enter text.	Choose an item.	Click here to enter text.

**Additional comments:**

**Total number of specific instances:**

0

**For all specific instances that were NOT ACCEPTED during the year:**

<b>Title</b>	<b>Date received</b> <i>(dd/mm/yyyy)</i>	<b>Link to OECD database if available</b>	<b>Reasons for not accepting the specific instance:</b>	<b>Did the NCP co-operate with other NCPs during the handling of this specific instance? If yes, please describe.</b>
Click here to enter text.	Click here to enter a date.	Click here to enter text.	Choose an item.	Click here to enter text.
Click here to enter text.	Click here to enter a date.	Click here to enter text.	Choose an item.	Click here to enter text.
Click here to enter text.	Click here to enter a date.	Click here to enter text.	Choose an item.	Click here to enter text.
Click here to enter text.	Click here to enter a date.	Click here to enter text.	Choose an item.	Click here to enter text.
Click here to enter text.	Click here to enter a date.	Click here to enter text.	Choose an item.	Click here to enter text.
Click here to enter text.	Click here to enter a date.	Click here to enter text.	Choose an item.	Click here to enter text.

**Additional comments:**

**Total number of specific instances:**

0

**For all specific instances for which FOLLOW UP was performed during the year:**

<b>Title</b>	<b>Date concluded</b> <i>(dd/mm/yyyy)</i>	<b>Date of follow up</b> <i>(dd/mm/yyyy)</i>	<b>Was a public follow up statement issued?</b>	<b>Link to the follow up statement, if applicable</b>
Click here to enter text.	Click here to enter a date.	Click here to enter a date.	Choose an item.	Click here to enter text.
Click here to enter text.	Click here to enter a date.	Click here to enter a date.	Choose an item.	Click here to enter text.
Click here to enter text.	Click here to enter a date.	Click here to enter a date.	Choose an item.	Click here to enter text.
Click here to enter text.	Click here to enter a date.	Click here to enter a date.	Choose an item.	Click here to enter text.
Click here to enter text.	Click here to enter a date.	Click here to enter a date.	Choose an item.	Click here to enter text.
Click here to enter text.	Click here to enter a date.	Click here to enter a date.	Choose an item.	Click here to enter text.

<b>Additional comments:</b>	
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<b>Total number of specific instances:</b>	0
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